



***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: Richard L. Sandor  
Title: EMISSION REDUCTION TRADING SYSTEM AND METHOD  
Appl. No.: Unknown  
Filing Date: July 18, 2003  
Examiner: Unknown  
Art Unit: Unknown

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Richard L. Sandor  
1301 North Astor Street  
Chicago, Illinois 60610

☒ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (58 pages).
- ☒ Formal drawings (14 sheets, Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14).
- ☒ Information Disclosure Statement.
- ☒ Form PTO-1449 with copies of 7 listed reference(s).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$750.00	\$750.00
Total Claims:	36	- 20	= 16	x \$18.00	= \$288.00
Independents:	7	- 3	= 4	x \$84.00	= \$336.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
				SUBTOTAL:	= \$1504.00
[ X ]				Small Entity Fees Apply (subtract ½ of above):	= \$752.00
1				TOTAL FILING FEE:	= \$752.00

- [ ] A check in the amount of \$0.00 to cover the filing fee is enclosed.
- [ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [ ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-2350. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-2350.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date July 18, 2003

FOLEY & LARDNER  
Customer Number: 23524



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PATENT TRADEMARK OFFICE

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By

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard L SANDOR

Title: **EMISSION REDUCTION TRADING SYSTEM AND METHOD**

Docket No.: 026532-0103

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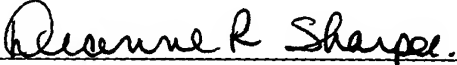
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I hereby certify that these attached documents

- \* Utility Patent Application Transmittal (2 pages)
- \* Utility Patent Application (72 total pages: Specification, 50 pages;  
Drawings 14 pages; Claims, 7 pages; Abstract, 1 page)
- \* Information Disclosure Statement (2pages)
- \* Form PTO 1449 (1 pages and Seven (7) cited references).
- \* Return Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Enclosed for filing please find the above-referenced utility patent application. Please indicate receipt of this utility patent application by returning the attached postcard with the official Patent and Trademark Office receipt stamped thereon.

  
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